



JPW

PATENT
Attorney Docket No.: SAM-0225DIV
Customer No.: 29344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jae-Phil Boo, *et al.*
Serial No.: 10/670,855
Filing Date: September 25, 2003
Title: APPARATUS FOR POLISHING A SEMICONDUCTOR WAFER AND
METHOD THEREFOR

Examiner: Not yet assigned
Group Art Unit: 3723

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

7-20-04

Date

Vanessa Marakas

Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Information Disclosure Statement;
2. Forms PTO-1449;
3. Copy of cited reference BA; and
4. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: July 20, 2004
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774
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Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 C.F.R. 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 C.F.R. 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within
three months of date of entry of the national stage in international application; or before mailing date of first
Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114,
whichever occurs last)
- ☐ under 37 C.F.R. 1.97(c) together with either:
- ☐ a Statement under 37 C.F.R. 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 C.F.R. 1.17(p), or
(After the 37 C.F.R. 1.97(b) time period, but before final action or notice of allowance,
or other action which closes prosecution, whichever occurs first)
- ☐ under 37 C.F.R. 1.97(d) together with:
- ☐ a Statement under 37 C.F.R. 1.97(e), as checked below, and
- ☐ the \$180.00 petition fee set forth in 37 C.F.R. 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before
payment of the issue fee)

Applicant(s): Jae-Phil Boo, *et al.*

Serial No.: 10/670,855

Statement Under 37 C.F.R. 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references AA-AK are on record in parent application Serial No. 09/877,922 now U.S. Patent No. 6,652,362.
- ☒ A copy of cited reference BA is enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 C.F.R. 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement under 36 C.F.R. 1.98(a)(3)(i) for reference ____ is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

Applicant(s): Jae-Phil Boo, *et al.*

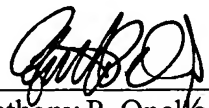
Serial No.: 10/670,855

Method of payment:

- ☐ Enclosed is a check in the amount of _____.
- ☐ Please charge Deposit Account of 50-1798 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: July 20, 2007
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Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



Subst. Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

SAM-0225DIV

Application Number

10/670,855

Applicant

Jae-Phil Boo, *et al.*

Filing Date

09-25-03

Group Art Unit

3723

U. S. Patent Documents

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,851,140	12-22-98	Barns, <i>et al.</i>	451	288	02-13-97
	AB	5,916,015	06-29-99	Natalicio	451	288	07-25-97
	AC	5,957,751	09-28-99	Govzman, <i>et al.</i>	451	8	05-23-97
	AD	6,033,292	05-07-00	Inaba	451	288	05-27-98
	AE	6,036,587	03-14-00	Tolles, <i>et al.</i>	451	288	10-10-96
	AF	6,050,882	04-18-00	Chen	451	41	06-10-99
	AG	6,273,803	08-14-01	Wang, <i>et al.</i>	451	288	07-01-99
	AH	6,273,804	08-14-01	Numoto	451	288	12-21-99
	AI	6,277,000	08-21-01	Gotcher	451	41	02-22-00
	AJ	6,277,014	08-21-01	Chen, <i>et al.</i>	451	398	10-09-98
	AK	6,361,420	03-26-02	Zuniga, <i>et al.</i>	451	288	02-08-00

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.



S. Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Docket Number (Optional) SAM-0225DIV	Application Number 10/670,855
	Applicant Jae-Phil Boo, et al.	
	Filing Date 09-25-03	Group Art Unit 3723

U. S. Patent Documents							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	6,447,379	09-10-02	Gromko, et al.	451	287	03-31-00
	BB						
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						
	BK						

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	BL							
	BM							
	BN							
	BO							
	BP							
	BQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	BR	
	BS	
	BT	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.